



01-27-00

GAU 2811

PATENT

Attorney Docket No.: 002818/PDD/PSI/JW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Suketu A. Parikh
Serial No.: 09/244,788

Filed: 2/5/99

For: Dual Damascene Misalignment
Tolerant Techniques For Vias And
Sacrificial Etch Segments

) Group Art Unit: 2811

) Examiner:

) TRANSMITTAL LETTER

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL

Enclosed for the above-identified patent application please find:

- ☒ Information Disclosure Statement
- ☒ Form PTO-1449
- ☒ Copy of 1 reference

 The Commissioner is hereby authorized to charge \$ to Deposit
Account No. 01-1651

☒ The Commissioner is hereby authorized to charge any additional fees
which may be required, or credit any overpayment to Deposit Account
No. 01-1651. A duplicate of this transmittal is enclosed.

Please address all correspondence to:

PATENT COUNSEL
APPLIED MATERIAL, INC.
Legal Affairs Department
P.O. Box 450 A
Santa Clara, CA 95052

Respectfully submitted,
LAW OFFICE of ALBERT J. DALHUISEN

Dated: Jan. 24, 2000

By: Albert J. Dalhuisen
Albert J. Dalhuisen
Reg. No. 36, 117
Attorney for Applicant

I hereby certify that this correspondence is being deposited
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Date of Deposit Jan. 24, 2000

Signature Albert J. Dalhuisen
Albert J. Dalhuisen

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) INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
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Sir:

This Information Disclosure Statement

- ☒ [X] is filed within three months after the filing date of a national application or three months after the date of entry of the national stage in an international application or before the mailing date of a first office action on the merits, whichever occurs last. 37 C.F.R. § 1.97(b).
- ☐ [] is filed **before** the mailing date of either (a) a final action under § 1.113 or (b) a notice of allowance under § 1.311, whichever occurs first, and is accompanied by
___ certification as specified in § 1.97(e) **or**
___ the fee set forth in § 1.17(p). 37 C.F.R. § 1.97(c).
- ☐ [] is filed **after** the mailing date of either (a) a final action under § 1.113 or (b) a notice of allowance under § 1.311, whichever occurs first, but before the payment of the issue fee, and is accompanied by
___ certification as specified in § 1.97(e);
___ a petition requesting consideration; **and**
___ the petition fee set forth in § 1.17(i)(1). 37 C.F.R. § 1.97(d)

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Applicant submits herewith patent(s), publication(s), or other information of which applicant is aware, which applicant believes may be material to the examination of this application and in respect of which there may be a duty to disclose. The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. 1.97(g)), an admission that the information cited is, or considered to be, material to patentability or that no other material information exists. The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25. Each reference is identified on the attached Form PTO-1449. A copy of each of the references is supplied herewith.

A concise explanation of the items listed on PTO-1449 is:

- ☒ not given, since all items are in the English language
- ☐ given for only some listed non-English language item(s)
- ☐ given for each listed item, since all are non-English language documents

Applicant has become aware of the following printed publication which may be material to the examination of this application:

EP Application No. EP0851483 A. to Chen et al., 1998 and 1999

Applicant respectfully submits that the invention claimed in the above identified application is patentable over the reference known to applicant and disclosed herein.

Respectfully submitted,

Dated: 1/24/2000

By: 
Albert J. Dalhuisen
Reg. No.: 36,117